

12/04/01
J1059 U.S. PTO
10/008635
12/04/01

12-10-01

A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as "Exhibit" herein are being deposited with the United States Postal Service on December 4, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EL896843696US, addressed to the Commissioner for Patents, Washington, DC 20231.

Attorney Docket No.: LAM2P232A

First Named Inventor: Thomas Walsh

Kay Harlow

UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))
(Continuation, Divisional or Continuation-in-part application)

Commissioner for Patents
Box Patent Application
Washington, DC 20231

☐ Duplicate for
fee processing

For: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventor:
Thomas Walsh

For: PARALLEL ALIGNMENT METHOD AND APPARATUS FOR CHEMICAL MECHANICAL
POLISHING

This application is a ☒ Continuation ☐ Divisional ☐ Continuation-in-part
of prior Application No.: **09/038,875**, from which priority under 35 U.S.C. §120 is claimed.

Application Elements:

- ☒ 31 Pages of Specification, Claims and Abstract
☒ 08 Sheets of **Formal** Drawings
☒ 02 Pages Declaration
☐ Newly executed (original or copy)
☒ Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).
The entire disclosure of the prior application from which a copy of the declaration is
herein supplied is considered as being part of the disclosure of the accompanying
application and is hereby incorporated by reference therein.
☐ Deletion of inventors Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:

- ☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
☐ Power of Attorney

- ☐ 37 CFR 3.73(b) Statement by Assignee
☐ Information Disclosure Statement with Form PTO-1449 ☐ Copies of IDS Citations
☐ Preliminary Amendment (*New claims numbered after highest original claim in prior application.*)
☒ Return Receipt Postcard
☐ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
☒ Other: Assignment Recordation noting Ownership by Lam Research Corporation.

Claim For Foreign Priority

- ☐ Priority of _____ Application No. _____ filed on _____ is claimed under 35 U.S.C. § 119.
☐ The certified copy has been filed in prior application U.S. Application No. _____
☐ The certified copy will follow.

Extension of Time for Prior Pending Application

- ☒ A Petition for Extension of Time is being concurrently filed in the prior pending application. A copy of the Petition for Extension of Time is attached.

Amendments

- ☒ Amend the specification by inserting before the first line the sentence: "This is a
☐ Continuation ☐ Continuation-in-part ☐ Divisional
 application of copending prior
☐ Application No. _____ filed on _____,
☐ International Application _____ filed on _____ which
 designated the United States, the disclosure of which is incorporated
 herein by reference."

- ☐ Cancel in this application original claims _____ of the prior application
 before calculating the filing fee. (*At least one original independent claim must be retained.*)

Fee Calculation (37 CFR § 1.16)

	(Col. 1) NO. FILED	(Col. 2) NO. EXTRA	SMALL ENTITY RATE FEE	OR	LARGE ENTITY RATE FEE
BASIC FEE			\$370 \$	OR	\$740 \$740.00
TOTAL CLAIMS	22 -20 = 02		x09 = \$	OR	x18 = \$ 36.00
INDEP CLAIMS	04 -03 = 01		x42 = \$	OR	x84 = \$ 84.00
[] Multiple Dependent Claim Presented			\$140 = \$	OR	\$280 = \$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total \$	OR	Total \$860.00

- ☒ Check No. 6140 in the amount of \$860.00 is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0805 (Order No. LAM2P232A).

Request for Small Entity Status

☐ Applicant is entitled to small entity status.

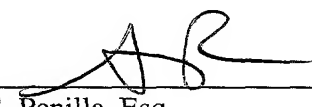
General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0805 (Order No. LAM2P232A).

☒ Please do not send correspondence to address listed on Declaration. Please send correspondence to the following address:

MARTINE & PENILLA, LLP
710 Lakeway Drive, Suite 170
Sunnyvale, CA 94085
Customer Number 25920

Date: December 4, 2001



Albert S. Penilla, Esq.
Registration No. 39,487

PATENT APPLICATION

PARALLEL ALIGNMENT METHOD AND APPARATUS FOR CHEMICAL MECHANICAL POLISHING

Inventor: Thomas Walsh
805 Spring Creek Way
Templeton, CA 93465.
Citizen of United States of America

Assignee: LAM RESEARCH CORPORATION
4650 Cushing Parkway
Fremont, California 94538

MARTINE & PENILLA, LLP
710 Lakeway Drive, Suite 170
Sunnyvale, California 94085
Telephone (408) 749-6900
Customer No. 25920